

**SECURITIES AND FUTURES (COMPOSITION OF OFFENCES)  
REGULATIONS 2009**

**DISCLAIMER: This version of the Regulations is in draft form and subject to change. It is also subject to review by the Attorney-General's Chambers.**

**SECURITIES AND FUTURES ACT  
(CHAPTER 289, SECTIONS 336 AND 341)**

**DRAFT SECURITIES AND FUTURES (COMPOSITION OF OFFENCES)  
REGULATIONS**

[.....]

**Compoundable offences**

2. The following offences may be compounded by the Authority in accordance with section 336 (2) of the Act:

(a) any offence under the Act which is punishable by a fine only;

(b) any offence under section 6 (4), 49 (7), 81U (2), 82 (3), ~~83(3)~~99B(4), 253(1), 253(1) as applied by section 302 (in relation to an offer of units in a collective investment scheme) or 282N(1) of the Act; or

(c) any offence under section 332 (1) (a) of the Act, where the non-compliance referred to in that section constitutes an offence which is compoundable under paragraph (a) or (b).

[.....]