

SECURITIES AND FUTURES ACT
(Cap. 289)

SECURITIES AND FUTURES
(LICENSING AND CONDUCT OF BUSINESS)
REGULATIONS (Rg 10)

**NOTICE GIVEN UNDER SECTION 93(1)
FOR CHANGE OF PRINCIPAL PLACE OF
BUSINESS**

FORM
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1. Name of holder of capital markets services licence (the holder):

2. Regulated activity(ies) carried on by the holder:

- Dealing in Capital Market Products:
 - Securities
 - Units in a Collective Investment Scheme
 - Exchange-traded Derivatives Contracts
 - Over-the-counter Derivatives Contracts
 - Spot Foreign Exchange Contracts for the Purposes of Leveraged Foreign Exchange Trading
- Fund Management
- Real Estate Investment Trust Management
- Product Financing
- Providing Credit Rating Services
- Providing Custodial Services

3. Licence number of holder : _____

4. (a) New address of principal place of business of holder (where applicable):

(b) Date of change of principal place of business of holder (where applicable):

_____ (dd/mm/yy)

Signature _____

Name _____

Designation _____

Date _____

(dd/mm/yy)

Notes:

- (1) This form is applicable to a licence holder notifying the Authority of changes to its principal place of business.
- (2) Please tick (✓) in the relevant boxes where appropriate.
- (3) This notice is to be signed by a director or the secretary of the holder.